

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SHELDON SILVERSTEIN, on behalf of
himself and all others similarly situated,

Plaintiff,

v.

TD AMERITRADE HOLDING
CORPORATION, and TD AMERITRADE,
INC., f.k.a TD WATERHOUSE
INVESTOR SERVICES, INC.,

Defendants.

No. 08-CV-5467 (GBD)
Hon. George B. Daniels

ECF Case

RULE 7.1 DISCLOSURE STATEMENT

Defendants, by their undersigned attorneys, make the following disclosure pursuant to Rule 7.1 of the Federal Rules of Civil Procedure:

Defendant TD Ameritrade, Inc. is a wholly-owned subsidiary of TD Ameritrade Holding Corporation, which in turn is a publicly held corporation. No publicly-held corporation holds ten or more percent ownership in TD Ameritrade Holding Corporation.

Dated: July 8, 2008

Respectfully submitted,

s/

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the 8th day of July, 2008, the foregoing Rule 7.1 Disclosure Statement was served on the following counsel of record via the ECF system and First-class mail:

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